



Terms of Reference

For

Contract To

Assess the Progress of implementation of Sendai Framework by Uganda, Ethiopia, Kenya and South Sudan by 2020

Introduction

The Sendai Framework for Disaster Risk Reduction initiated in and the programme of action are planned for 2015-2030. It is expected that this year 2020, marks 5 years of implementation. The framework outlines the following priorities for action:

- Understanding disaster risk
- Strengthening disaster risk governance to manage disaster risk
- Investing in disaster risk reduction for resilience
- Enhancing disaster preparedness for effective response, and to “Build Back Better” in recovery, rehabilitation and reconstruction.

These priorities should be implemented by states as per Guiding principles section (III) 19 sub section A to M¹ ; Each State has the primary responsibility to prevent and reduce disaster risk, including through international, regional, sub regional, transboundary and bilateral cooperation. The reduction of disaster risk is a common concern for all States and the extent to which developing countries are able to effectively enhance and implement national disaster risk reduction policies and measures in the context of their respective circumstances and capabilities can be further enhanced through the provision of sustainable international cooperation;

Background to the study:

This Regional IRM Strategic Partnership, seeks to influence policies and support effective policy implementation in the Horn of Africa, more especially in Ethiopia, Kenya, Uganda and South Sudan. The

¹ Refer to the Programme document attached

stakeholders that will be targeted will include national and regional bodies and institutions (e.g. IGAD, AU, EAC) but also policy influencers and decision makers among the international donors, UN agencies, and INGOs as well as the **Media**. In the Horn of Africa, the PFR focuses on policy dialogue as part of the main purpose of enhancing Community Resilience solutions, integrate IRM in key policies, practices and investments, disseminate relevant policies and frameworks (policies, practice) as well as risk screening of cross border investments. The Regional IRM Strategic Partnership consortium, consisting of Netherlands Red Cross Society, Red Cross & Red Crescent Climate Centre, Wetlands International, Care Netherlands and Cordaid as lead, will work together for the effective implementation of the partnership. The partnership works closely with identified organizations, who are well known in the countries and in the Region for their work in **policy research** and for supporting policy development.

While those actions are implemented under country programmes; the main policies that the regional component of Partnership for Resilience (PFR) strategic plan seeks to influence are:

- 1) Programme of Action for Implementation of the Sendai Framework for DRR 2015-2030 in Africa and the Mauritius Declaration on the Implementation of the Sendai Framework in Africa;
- 2) Comprehensive Africa Agriculture Development Programme (CAADP) of the New Partnership for Africa's Development (NEPAD);
- 3) IGAD Disaster Risk Management Programme;
- 4) IDRIIS Drought Resilience Initiative; and
- 5) East African Community Climate Change Strategy.

It is against the background above that CARE International seeks to understand the Progress to date after 5 years on how governments are progressing to implement the actions around priorities areas. The report of the findings shall inform the international DRR conference to be held (Most international events have been postponed. This will be updated later)

Objectives of the assignment

The ultimate goal of this assessment is to generate information that can inform policy makers on how far we have progressed in the implementation of the framework and what we committed. The information will also be used to inform donor agencies on funding gaps. The specific objectives are:

- 1) To assess to which extent Uganda, Kenya, Ethiopia and South Sudan are implementing commitment in the Sendai framework.
- 2) Assess the extent to which regional bodies such as IGAD, African Union and Nile Basin Initiative, the East African Community are supporting member countries to implement Programme Priorities.
- 3) To document the challenges/barriers, scalable lessons and best practices to implementation priority areas of the Sendai Framework.
- 4) To make specific and feasible recommendations to foster implementation of the framework in the next 5 years.

Methodology

This study will apply qualitative and quantitative methodologies due to its nature. The consultant will be expected to conduct key informant interviews, field verification, observations, review of relevant plans of agencies that responded to the proposed Sendai actions, the Sendai framework document, the target and plan of action and any other documentation available that respond to DRR².

Scope of Work

Content Scope:

The investigation carried out by the consultant will involve the following key activities:

- 1) Based on an initial desk-based examination of accessible documents, e.g., project document(s), National Development Plans, Ministerial Papers, and related work plans, Sendai Framework among others
- 2) Develop research questions based on the document analysis and objectives of the assignment
- 3) Given the initial list of questions, identify individuals and groups to be interviewed. These include:
 - Academia
 - Relevant CSO representative
 - Government Officials from line ministries
 - Office of the Prime Minister
 - Other groups and individuals who can provide evidence
- 4) Travel to any of the three countries to collect information from the responsible agencies
- 5) Prepare a draft investigation report

Geographical Scope:

This assignment will be carried out in Uganda, Ethiopia Kenya and South Sudan.

Key Deliverables:

The following are the key deliverables to be achieved:

The Consultant:

- **Inception report and data collection checklist.** The consultant is expected to submit a technical design after reviewing project documents in the form of an inception report. The report should be submitted within ten (10) days after the signing of the contract. The report should at least include background, a brief overview of the project, general and specific objectives, study methodology, reporting deliverables, schedule, etc.

² For instance, the IGAD DRR strategy

- **Progress report.** A brief report (maximum 3 pages) on activities accomplished, challenges encountered, and measures taken, one week after the start of data collection
- **Draft and final report.** The consultant will submit two rounds of reports for review and comments, before submitting the report as final. The report should have ***a maximum of 25 pages exclusive of the annex*** (relevant information can be included in the Annex). The content of the final report will be
 - Cover page: Title page with date, logos, consultant name
 - Executive summary: a brief of maximum two pages with description of the methodologies, main findings, conclusions, and recommendations
 - Introduction
 - Brief description of Partners for Resilience-Horn of Africa
 - Objective of the Study
 - Study methodology
 - Detailed report on findings
 - Recommendations & Conclusions
 - Annexes: Names and contact of Key Informants, KII & FDG guides, the composition of consultant team, a list of sites visited, references, etc.
- **Power point presentation** on key findings of the study
- **Handover cleaned data collected and filled instruments to CARE International.**

Timing

The consultant will be expected to use a total of 30 man days to complete the assignment. This will be counted immediately after signing of contract.

Necessary Team Qualifications:

To be considered for this project the consultant must have:

- At least a bachelor degrees and more than 10 years' experience in research related to policy.
 - Expertise in Environmental and Natural Management issues
 - Skills in research design
 - Investigative journalism in the event that the consultant is a journalist
 - Expertise in qualitative data analysis tools and methodologies.
 - Experience in understanding policy monitoring and analysis
 - Strong familiarity with Sendai Framework and other regional policies/frameworks
 - Understanding DRR. Regional institutions and government operational protocol
 - Good writing and presentation skills
1. Probably review the HOA Programme action plan 2020 to help stage this study. It would be very useful if staged differently to focus on the Regional frameworks and the Regional bodies and the link to country initiatives.

Other points to consider

1. Who are we targeting with the information generated by the study?
2. Any baseline studies? Gap Analysis by RCC on behalf of HoA team could be helpful
3. How would we involve the Regional Institutions for information and in Dissemination? This would be necessary to validate and even later publish the report for referencing

The study is timely and would address a number of issues for the Regional Team.